

**IF5110 Teori Komputasi**

**Teori Kompleksitas  
(Bagian 3)**

Oleh: Rinaldi Munir

**Program Studi Magister Informatika STEI-ITB** 1

**Sumber:** *Complexity Theory, based on*  
Garey M., Johnson D.S., *Computers and*  
*Intractability A guide to the Theory of NP-*  
*Completeness*, Freeman and Company -  
New York - 2000

# SAT

- SAT = *Satisfiability Problem*

Up to now we never encountered *NP*-complete problems

*The first example of NP-complete problem was found by Cook in 1971*

(before this date, the concept of *NP*-completeness did not even exist)

Given  $X = \{x_1, x_2, \dots, x_n\}$  a set of Boolean variable, that can assume value  $\{0,1\}$ , and a *clause* over  $X$ , that is a set containing variables or negation of variables, a collection  $C$  of clauses is *satisfiable* if and only if there exists some truth assignment for  $X$  that simultaneously satisfies all the clauses.

**SATISFIABILITY PROBLEM (SAT) in Boolean algebra**

*Instance:* a set  $X$  of variables and a collection  $C$  of clauses

*Question:* is there a satisfying truth assignment for  $C$ ?

Example *Yes*

$$X = \{x_1, x_2, x_3\} \quad C = (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (x_2) \wedge (x_1 \vee \bar{x}_2)$$

The truth assignment  $x_1=1, x_2=1, x_3=1$  satisfies  $C$ .

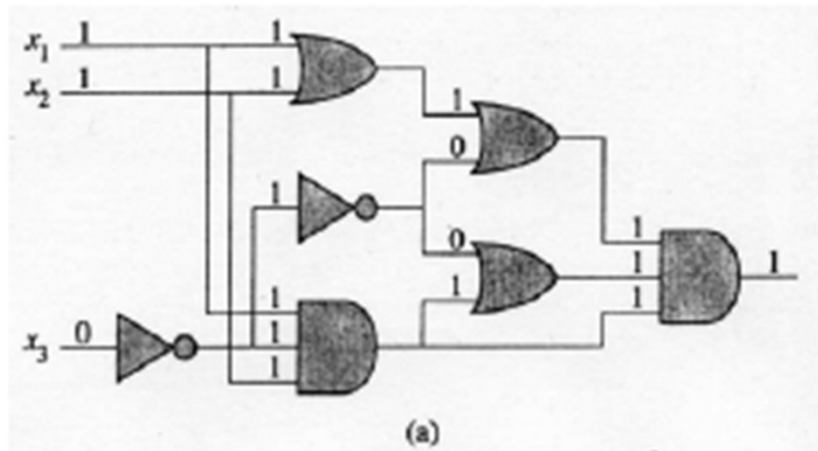
The answer is *Yes*

Example *No*

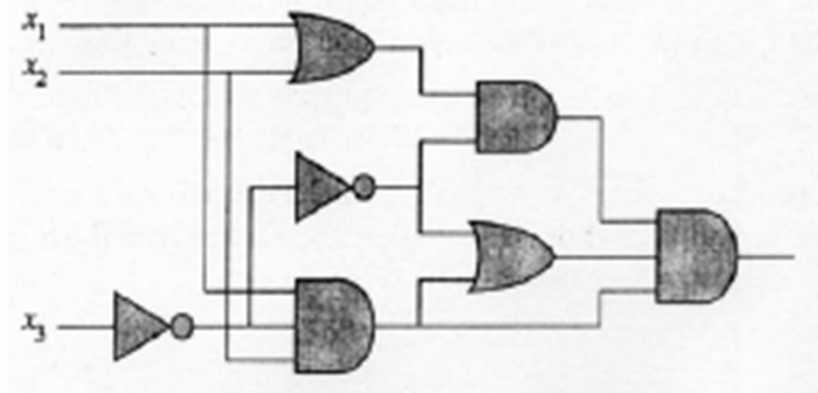
$$X = \{x_1, x_2, x_3\} \quad C' = (x_1 \vee x_2) \wedge (x_1 \vee \bar{x}_2) \wedge \bar{x}_1 \wedge (x_1 \vee x_3)$$

There are no truth assignments that satisfies  $C'$

The answer is *No*



satisfiable



not satisfiable

Cook's Theorem (1971)

SATISFIABILITY PROBLEM (SAT) in Boolean algebra  
is *NP*-complete

proof: very complex, since there are infinitely many languages  
in *NP*, and we cannot prove directly that, for each  $L \in NP$   
we have  $L \propto L_{SAT}$ , showing a transformation for each language.  
We prove the theorem in two steps:

1) SAT is in NP because any assignment of Boolean values to Boolean variables that is claimed to satisfy the given expression can be *verified* in polynomial time by a deterministic Turing machine.

2) Now suppose that a given problem in NP can be solved by the nondeterministic Turing machine NDTM. Suppose further that NDTM accepts or rejects an instance  $I$  of the problem in time  $p(n)$ .

For each input,  $I$ , we specify a Boolean expression which is satisfiable if and only if the machine NDTM accepts  $I$ .



## *Six examples of NP-complete problems*

### **3-SATISFIABILITY (3-SAT)**

***Instance:*** a set  $X$  of variables and a collection  $C$  of clauses that contains exactly 3 literals

***Question:*** is there a satisfying truth assignment for  $C$ ?

Example

$$X = \{x_1, x_2, x_3, x_4\}$$

$$C = (x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee x_4) \wedge (x_1 \vee \bar{x}_2 \vee \bar{x}_3)$$

### 3-DIMENSIONAL MATCHING (3-DM)

*Instance:* a set  $M \subseteq W \times X \times Y$  where  $W, X, Y$  are disjoint sets having the same number  $q$  of elements.

*Question:* does  $M$  contain a matching, that is, a subset  $M' \subseteq M$  such that  $|M'| = q$  and no two elements of  $M'$  agree in any coordinate?

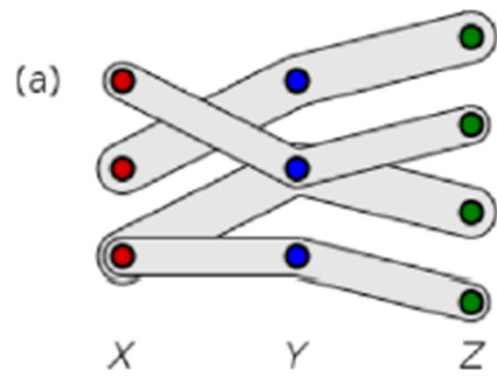
It is a generalization of the “marriage problem”:

Given  $n$  unmarried men and  $n$  unmarried women, along with a list of all male-female pairs who would be willing to marry one another, is it possible to arrange  $n$  marriages so that polygamy is avoided and everyone receives an acceptable spouse? (best algorithm  $O(n^5)$ )

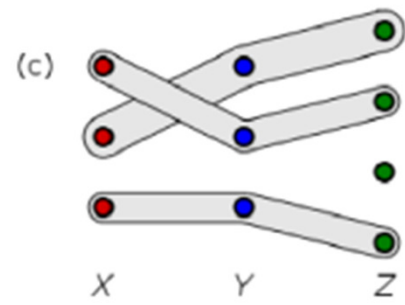
#### Example

$M \subseteq W \times X \times Y = \{axm, axn, axo, axp, aym, ayn, ayo, ayp, \dots, dko, dkp\}$

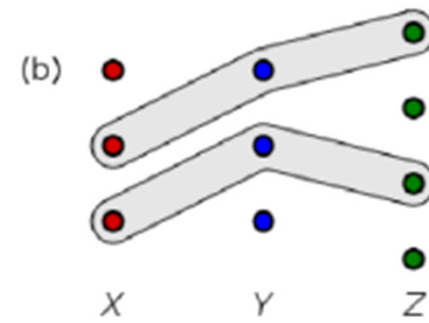
$M' = \{axm, byn, czo, dkp\}$



Instance



Solution 1



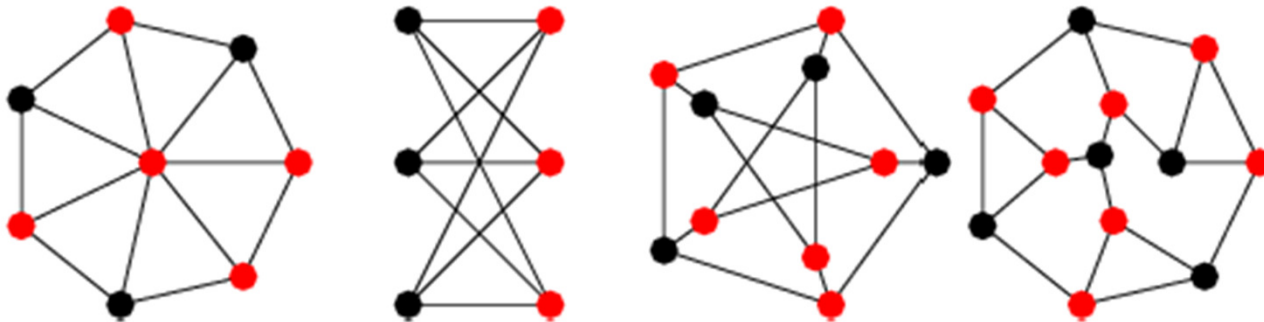
Solution 2

## VERTEX COVER (VC)

*Instance:* a graph  $G = (V, E)$  and a positive integer  $K \leq |V|$

*Question:* is there a vertex cover of size  $K$  or less for  $G$ , that is a subset  $V' \subseteq V$  such that  $|V'| \leq K$  and, for each edge  $\{u, v\} \in E$  at least one of  $u$  and  $v$  belongs to  $V'$ ?

Example

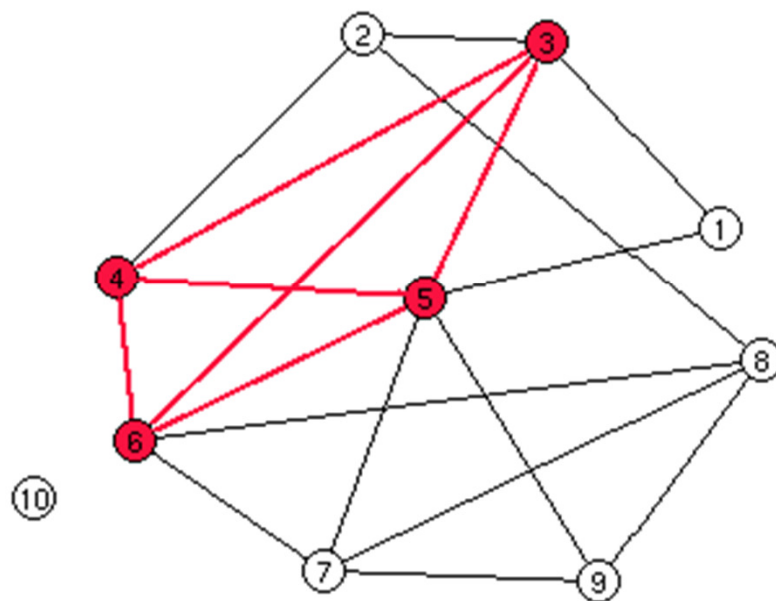


## CLIQUE

*Instance:* a graph  $G = (V, E)$  and a positive integer  $K \leq |V|$

*Question:* does  $G$  contain a clique of size  $K$  or more, that is a subset  $V' \subseteq V$  such that  $|V'| \leq K$  and every two vertices in  $V'$  are joined by an edge in  $E$ ?

Example

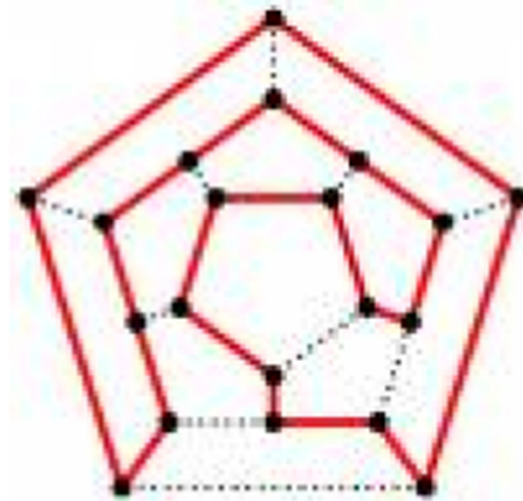


## HAMILTONIAN CIRCUIT (HC)

*Instance:* a graph  $G = (V, E)$

*Question:* does  $G$  contain a Hamiltonian circuit, that is an ordering  $\langle v_1, v_2, \dots, v_n \rangle$  of the vertices of  $G$ , where  $n = |V|$ , such that  $\{v_n, v_1\} \in E$  and  $\{v_i, v_{i+1}\} \in E$  for all  $i, 1 \leq i < n$  ?

Example



## PARTITION

*Instance:* a finite set  $A$  and a “size”  $s(a) \in \mathbb{Z}^+$  for each  $a \in A$

*Question:* is there a subset  $A' \subseteq A$  such that

$$\sum_{a \in A} s(a) = \sum_{a \in A'-A} s(a)$$

Example

$$A = \{14, 1, 23, 3, 5, 32, 11, 21\}$$

$$11+23+21 = 1+3+5+32$$